



Attorney Docket No. 82302Y

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OHNO, et al.

Application No.: 10/671,418

Examiner: GREEN, Jason M.

Date Filed: September 26, 2003

Art Unit: 1724

For: **HONEYCOMB FILTER AND CERAMIC FILTER ASSEMBLY**

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

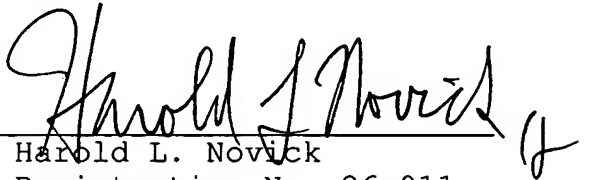
Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Information Disclosure Statement Transmittal Letter;
- (3) Certification Statement
- (4) Form PTO 1449 siting 26 references; and
- (5) Nine (9) References enclosed

Respectfully submitted,

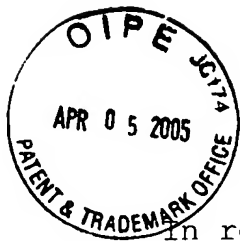
**NATH & ASSOCIATES PLLC**

By:



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Date: April 5, 2005  
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Atty. Docket No. 82302Y

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: ) Confirmation No. 1355  
Serial No. 10/671,418 ) Examiner: GREENE, Jason M.  
Filed: September 26, 2003 ) Art Unit: 1724  
For: **HONEYCOMB FILTER AND CERAMIC FILTER ASSEMBLY**

**INFORMATION DISCLOSURE STATEMENT AND TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ ] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ X ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- [ X ] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- [ ] ii. a check in the amount of \$240.00 required by 37 C.F.R. §1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- [ ] PETITION FEE: A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed; and

☐ CERTIFICATION is attended to in box (e) below.

- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- ☒ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

☒ Appropriate certification is attached.

- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112. A duplicate of this sheet is enclosed.

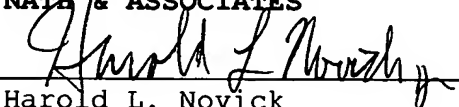
A completed Form PTO-1449 and copies of those documents which are required to be submitted are attached herewith. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,

**NATH & ASSOCIATES**

By:

  
Harold L. Novick

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Atty. Docket No. 82302Y

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re patent application of: ) Confirmation No. 1355  
Serial No. 10/671,418 ) Examiner: GREENE, Jason M.  
Filed: September 26, 2003 ) Art Unit: 1724

For: **HONEYCOMB FILTER AND CERAMIC FILTER ASSEMBLY**

**CERTIFICATION**

I hereby certify that each item of information contained in the attached Information Disclosure Statement was cited in communications from the European Patent in one or more of five European patent applications, which are divisionals of a counterpart foreign application of this U.S. application, not more than three months prior to the filing of this statement, or was cited in the parent U.S. application to this application.

By: \_\_\_\_\_

*Harold L. Novick*  
Harold L. Novick  
Registration No. 26,011


**FORM PTO-1449**
**INFORMATION DISCLOSURE CITATION**

Atty Docket  
82302Y

Serial No.  
10/671,418

Applicant

OHNO et al.

Filing Date  
September 26, 2003

Group Art Unit  
1724

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	US 5 456 965	10 Oct 95	MACHIDA et al.			10 Nov 93
	AB	US 4 419 108 A	6 Dec 83	FROST et al.			
	AC	US 5 853 459 A	29 Dec 98	KUWAMOTO et al.			8 Jul 97
	AD	US 4 427 423 A	24 Jan 84	MONTIERTH			22 Feb 82
	AE	US 5 846 276 A	8 Dec 98	NAGAI et al.			19 Jun 96
	AF	US 5 914 187 A	22 Jun 99	NARUSE et al.			9 Sep 97
	AG	US 4 329 162 A	11 May 82	PITCHER JR			3 Jul 80

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Trans-lation
	AL	0 336 883 A	11 Oct 89	EP			
	AM	0 867 223 A	30 Sep 98	EP			
	AN	0 816 065	7 Jan 98	EP			
	AO	0 277 012 A	3 Aug 88	EP			
	AP	0 753 490 A	15 Jan 97	EP			
	AQ	0 658 363 A	21 Jun 95	EP			
	AR	93/13303 A	8 Jul 93	WO			
	AS	7-124428 A	16 Mar 95	JP			
	AT	9-220423 A	26 Aug 97	JP			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket  
82302YSerial No.  
10/671,418Applicant  
OHNO et al.Filing Date  
September 26, 2003Group Art Unit  
1724

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	BA	5,853,444	29 Dec 98	Maier et al.			
	BB						
	BC						
	BD						
	BE						
	BE						
	BG						
	BH						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Trans-lation
	BL	08-028246	30 Jan 96	Japan			
	BM	11-114339	27 Apr 99	Japan			
	BN	2000-210517	2 Aug 00	Japan			
	BO	0808649 A1	26 Nov 97	EP			
	BP	07-054643	28 Feb 95	Japan			
	BQ	06-241018	30 Aug 94	Japan			
	BR	05-277315	26 Oct 93	Japan			
	BS	07-054643	28 Feb 95	Japan			
	BT	0808649	26 Nov 97	EP			

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	BQ		
	BR		
	BS		

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